

CODE OF CONDUCT - SPONSOR

I,..... the undersigned, understand and agree to uphold the following
Sponsor's Code of Conduct, in my sponsoring of:..... (Name of Applicant).

1. I undertake to sponsor only applicants with whom I am acquainted and have sufficient working knowledge of to enable me to assess their attributes, knowledge and skills in terms of auditing requirements.
2. I pledge that through having a working relationship with the applicant, I am able to attest to the applicant's possession of the attributes required to be an effective Auditor, including:

Personal behaviours in terms of being:

- Ethical - fair, truthful, sincere, honest and discreet;
- Open-minded - willing to consider alternative ideas or points of view;
- Diplomatic - tactful in dealing with people;
- Observant - actively aware of physical surroundings and activities;
- Perceptive - instinctively aware of and able to understand situations;
- Versatile - adjust readily to different situations;
- Tenacious - persistent, focussed on achieving objectives;
- Decisive - reaches timely conclusions based on logical reasoning and analysis;
- Self-reliant - acts and functions independently while interacting effectively with others;
- Acting with fortitude, i.e. able to act responsibly and ethically, even though these actions may not always be popular and may sometimes result in disagreement or confrontation;
- Open to improvement, i.e. willing to learn from situations, and striving for better audit results;
- Culturally sensitive, i.e. observant and respectful to the culture of the auditee;
- Collaborative, i.e. effectively interacting with others, including audit team members and the auditee's personnel.

3. I shall not sponsor an applicant of whom I do not have sufficient knowledge to attest the above.
4. I shall not represent conflicting or competing interests and/or to disclose to any client or employer any relationships that may influence my judgment.
5. I shall not to accept any inducement, commission, gift or any other benefit from Applicants, their organisations, their employees or any interested party or knowingly allow colleagues to do so.
6. I shall not, intentionally, communicate false or misleading information that may compromise the integrity of the auditor certification process.
7. I shall not to act in any way that would prejudice the reputation of SAATCA or the auditor certification process and commit to co-operate fully with an inquiry in the event of any alleged breach of this Code.

..... Date: DD/MM/YYYY
Signed (Sponsor):