

**CODE OF CONDUCT -
SPONSOR**

I, _____ the undersigned, understand and agree to uphold the following Sponsor's Code of Conduct, in my sponsoring of: _____ (Name of Applicant):

1. I undertake to sponsor only applicants with whom I am acquainted and have sufficient working knowledge of to enable me to assess their attributes, knowledge and skills in terms of auditing requirements.
2. I pledge that through having a working relationship with the applicant, I am able to attest to the applicant's possession of the attributes required to be an effective Auditor, including:

Personal **behaviours** in terms of being:

- Ethical – fair, truthful, sincere, honest and discreet
- Open-minded – willing to consider alternative ideas or points of view
- Diplomatic – tactful in dealing with people
- Observant – actively aware of physical surroundings and activities
- Perceptive – instinctively aware of and able to understand situations
- Versatile – adjust readily to different situations
- Tenacious – persistent, focussed on achieving objectives
- Decisive – reaches timely conclusions based on logical reasoning and analysis
- Self-reliant – acts and functions independently while interacting effectively with others.
- Acting with fortitude, i.e. able to act responsibly and ethically, even though these actions may not always be popular and may sometimes result in disagreement or confrontation;
- Open to improvement, i.e. willing to learn from situations, and striving for better audit results;
- Culturally sensitive, i.e. observant and respectful to the culture of the auditee;
- Collaborative, i.e. effectively interacting with others, including audit team members and the auditee's personnel.

3. I shall not sponsor an applicant of whom I do not have sufficient knowledge to attest the above.
4. I shall not represent conflicting or competing interests and/or to disclose to any client or employer any relationships that may influence my judgment.
5. I shall not to accept any inducement, commission, gift or any other benefit from Applicants, their organisations, their employees or any interested party or knowingly allow colleagues to do so.
6. I shall not, intentionally, communicate false or misleading information that may compromise the integrity of the auditor certification process.
7. I shall not to act in any way that would prejudice the reputation of SAATCA or the auditor certification process and commit to co-operate fully with an inquiry in the event of any alleged breach of this Code.

Signed (Sponsor)

Date: _____